

06/20/03  
18351 U.S. PTO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. 2702P
---	------------------------------

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith for filing is a Patent Application claiming under 35 USC 119(e) the benefit of provisional patent application serial no. 60/389,956 filed June 20, 2002, in the name of Ka Shun Kevin FUNG, entitled Method and System for Improving the Liquidity of Transactions for PM Pools and Auctions, for a(n):

- (X) Original Patent Application.  
( ) Continuing Application (prior application not abandoned):  
    ( ) Continuation      ( ) Divisional      ( ) Continuation-in-part (CIP)  
    of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_

Enclosed are:

- (X) Specification: 75 Total Pages.  
(X) Drawing(s): 18 Total Sheets.  
(X) Combined Declaration and Power of Attorney:  
    (X) Newly Executed (original or copy)  
    ( ) Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Assignment Papers (cover sheet and document(s)).  
( ) Nonpublication Request under 35 USC 122(b)(2)(B)(i).  
(X) Information Disclosure Statement and Form PTO-1449. (X) Copies of (6) six IDS Citations.  
(X) Return Receipt Postcard.  
( ) Other:

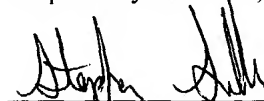
CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	57	37	\$9.00	\$ 333.00
Independent Claims	6	3	\$42.00	\$ 126.00
Multiple Dependent Claims (if applicable)				\$0.00
Basic Filing Fee				\$375.00
Total Filing Fee				\$ 834.00

**Payment of Fees:**

- Check no. 5842 in the amount of \$ 834.00 is enclosed for payment of Filing Fees(s).  
□ Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ (Acct Holder Name) for payment of Filing Fee(s).  
■ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account 02-2120 (Sawyer Law Group LLP).

Customer No. **29141**  
Sawyer Law Group LLP  
P.O. Box 51418  
Palo Alto, CA 94303  
Tel: (650) 493-4540  
Fax: (650) 493-4549

Respectfully submitted,



Stephen G. Sullivan  
Sawyer Law Group LLP  
Attorney for Applicant(s)  
Reg. No. 38,329

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Typed Name: Grace Alicea

Express Mail Label No: EL547861165US

Date of Deposit: June 20, 2003

17437 U.S. PTO  
10/600137  
06/20/03

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

06/24/2003 HTECKLU1 00000021 10600137

01 FC:2001	375.00 OP
02 FC:2202	333.00 OP
03 FC:2201	126.00 OP